

et No. 215374US0PCT/hc



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Ulrich STEUERLE, et al.

SERIAL NO: 09/926,503

GAU: 1723

FILED: November 13, 2001

EXAMINER:

FOR: METHOD FOR FRACTIONATION OF WATER SOLUBLE OR DISPERSIBLE POLYMERS CONTAINING AMINO GROUPS WITH A BROAD MOLAR MASS DISTRIBUTION

INFORMATION DISCLOSURE/RELATED CASE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☐ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

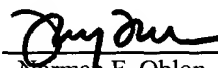
- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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REGISTRATION NUMBER 30,936

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Sheet 1 of 1

SERIAL NO: 09/926,503

Group Art Unit: 1723

STATEMENT OF RELEVANCY

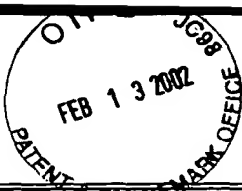
References AB through AD, AN through AU, AW and AX on Form 1449:

These references are discussed in the specification.

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with PTO 1449 (modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 215374US0PCT		SERIAL NO. 09/926,503	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Ulrich STEUERLE, et al.			
				FILING DATE November 13, 2001		GROUP 1723	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	3,893,885	07/08/75	H. ZIEMANN, et al.			
	AB	2,182,306	12/05/39	H. ULRICH, et al.			
	AC	3,203,910	08/31/65	B. W. WILSON			
	AD	4,066,494	01/03/78	E. SCHARF, et al			
	AE	6,056,967	05/02/2000	U. STEUERLE, et al.			
	AF	5,009,789	04/23/91	U. K. E. HELMER, et al.			
	AG	5,055,197	10/08/91	R. L. ALBRIGHT, et al.			
	AH						
	AI						
	AJ						
	AK						
	AL						
	AMN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AN	1 771 814	08/31/72	GERMANY (submitting corr. US 3,893,885 only)			
	AO	0 411 400	02/06/91	EUROPE (submitting English Abstract only)			
	AP	2 162 567	07/20/72	GERMANY (submitting English Abstract only)			
	AQ	2 916 356	11/13/80	GERMANY (submitting English Abstract only)			
	AR	WO 94/12560	06/09/94	WIPO (with English Abstract)			X
	AS	WO 94/14873	07/07/94	WIPO (with English Abstract)			X
	AT	WO 97/25367	07/17/97	WIPO (submitting corr. US 6,056,967 only)			
	AU	0 442 866	08/28/91	EUROPE (submitting corr. US 5,009,789 only)			
	AV	0 512 175	11/11/92	EUROPE (submitting corr. US 5,055,197 only)			
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	G.B. GUISE, et al., Journal of Applied Polymer Science, vol. 30, pages 4099-4111, "THE CHEMISTRY OF A POLYAMIDE-EPICHLOROHYDRIN RESIN (HERCOSETT 125) USED TO SHRINK -RESIST WOOL", 1985					
	AX	J. HIDDINK, et al., Milchwissenschaft (Milk Science International), vol. 36. no. 11, pages 657-663, "EFFECT OF VARIOUS PRE-TREATMENTS ON THE ULTRAFILTRATION OF SWEET CHEESE WHEY AT ABOUT 55 °C", 1981					
	AY						
	AZ						
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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